

Our Reference: VTE-138-B

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jeff Moler et al.

Serial Number:

10/613,138

Filing Date:

July 3, 2003

Examiner/Art Group Unit:

Unknown/2834

Title:

TEMPERATURE COMPENSATING FOR A MECHANICALLY LEVERAGED SMART

MATERIAL ACTUATOR

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The references listed in the attached form PTO-1449 are cited pursuant to Rule 37 C.F.R. 1.56 to meet the duty to disclose to the Patent Office all information known to the inventor, attorney or any other person who is substantively involved in the preparation or prosecution of the application or who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in § 1.56(c), a copy of the translation accompanies this Statement.

Pursuant to 37 C.F.R. § 1.97(c) and (e)(1) this Information Disclosure Statement includes the following Certification that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Statement.

If applicable, copies of the International Search Report and any foreign patent office Search Report are enclosed for the Examiner's use, and these reports indicate the relevance of the cited references according to the respective search authorities.

These references constitute all the information of which the individuals pursuant to 37 C.F.R. § 1.56(c) are currently aware that have not been previously disclosed to the patent office in the above identified patent application.

Respectfully submitted,

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Dated: January 8, 2004

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FORM PTO-1449 LIST OF REFERENCES CITED BY APPLICANT		ATTY, DOCKET NO. VTE-138-B		SERIAL NO. 10/613,138				
		APPLICANT Jeff Moler et al						
			FILING DATE July 3, 2003		group 2834			
U. S. PATENT DOCUMENT								
EXAMINER INITIALS		PATENT NO.	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE	
	АА	4,633,118	12/30/1986	Kosugi				
	AB			W.V				
	AC							
	AD							
	AE		<u> </u>					
	AF					<u> </u>		
	AG							
	АН	<u> </u>						
	AI							
	AJ							
	AK							
FOREIGN PATENT OR PUBLISHED PATENT APPLICATION								
		DOCUMENT NO.	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
	AL	DE19523229A1	1/2/1997	Germany			Enclosed	
	AM	US 2001/0030306A1	10/18/2001	us			N/A	
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		OTHER REFER	RENCES (including	g Author, Title, Date, Pertinent	Pages, etc.)	)		
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EXAMINER				DATE CONSIDERED				
EXAMINE	R: Initial i	if reference considered,	, whether or not c	itation is in conformance with	MPEP 609;	Draw line th	rough citation if	

Sheet 1 of 1